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The Council's Risk Management Group met on the 14 July 2020 to review the Council's Risk Register. Prior to this meeting all risks were reviewed and proposals to reduce risk ratings in seven areas and increase risk ratings in 13 other areas were submitted by officers.

### Corporate Risks

















Risk Code & Title	RAG Status	Impact	Likelihood	Current Rating
CRR_CO02 Failure of public sector partnerships / withdrawal of financial support		2	3	6
CRR_CO03 Failure to safeguard children and vulnerable adults		3	1	3
CRR_CO04 Inability to demonstrate a five year supply of deliverable housing sites against the housing target leading to further development on unallocated sites		3	2	6
CRR_FCS01 Failure to properly deal with community governance review legislation, Community Right to Challenge, and nominations for assets of community value		2	2 to 1	4 to 2
Likelihood reduced from 2 to 1 as appropriate staff in place to properly deal with requests				
CRR_FCS02 Reduction in Government funding linked to New Homes Bonus		3	3	9
CRR_FCS03 Failure to prevent or detect fraud and corruption	 to 	3 to 2	2	6 to 4
Impact reduced from 3 to 2 as no impact from cases in recent years and a revised Anti-fraud and Corruption Policy for 2020-24, which is actively implemented				
CRR_FCS05 Revaluation of major business rate payer		4	3	12
CRR_FCS06 Lack of funding from partners	 to 	2	2 to 3	4 to 6
Likelihood increased from 2 to 3 as a result of funding pressure resulting from COVID-19				
CRR_FCS07 Central Government policy changes		3	3	9
CRR_FCS08 Inadequate capital resources	 to 	3	2 to 4	6 to 12
Likelihood increased from 2 to 4 as a result of greater potential for a reduction in capital receipts and S106 receipts related to Covid19				

Risk Code & Title	RAG Status	Impact	Likelihood	Current Rating
<b>CRR_FCS09</b> Fee income volatility	 to 	2	2 to 4	4 to 8
Likelihood increased from 2 to 4 as a result of the impact of Covid19 on investment income; parking, community halls, playing fields and sports pitches; and leisure income				
CRR_FCS10 Inflationary pressures, particularly utility costs		3	2	6
CRR_FCS11 Increased demand for services		2	3	6
<b>CRR_FCS12</b> Risk and return from Asset Investment Strategy	 to 	3	2 to 3	6 to 9
Likelihood increased from 2 to 3 as a result of Covid19 and the potential reduction in asset investments in current economic climate plus the potential reduction in returns from existing assets as rental market decreases				
<b>CRR_FCS13</b> Failure to deliver the Transformation Strategy	 to 	4	2 to 3	8 to 12
Likelihood increased from 2 to 3 as a result of Covid19 impacting upon planned work and investments				
CRR_FCS20 Failure to properly manage and deliver significant projects		2	2	4
CRR_FCS21 Potential inflationary pressures, with volatility over prediction for budget		2	2	4
CRR_FCS22 Uncertainty around Government funding and changes to the business rates system with a one year financial settlement		3	3	9
CRR_FCS23 ICT supplier goes out of business		3	1	3
CRR_FCS24 Long term loss/failure of main ICT systems		4	1	4
CRR_FCS25 Loss or compromise of sensitive data		3	2	6
<b>CRR_FCS26</b> Short term loss/failure of main ICT systems	 to 	2 to 3	2	4 to 6
Impact increased from 2 to 3 as a result of more remote working				
<b>CRR_FCS27</b> Threat of major successful cyber-attack	 to 	4	2 to 3	8 to 12
Likelihood increased from 2 to 3 to take account of the increase prevalence of cyber attack whilst systems are perceived to be under pressure				
<b>CRR_FCS28</b> Failure to comply with General Data Protection Regulation	 to 	3 to 2	2	6 to 4

Risk Code & Title	RAG Status	Impact	Likelihood	Current Rating
Impact reduced from 3 to 2 as new DPO in place and trained				
<b>CRR_FCS29</b> Loss or compromise of confidential or restricted information or data	 to 	<b>3 to 2</b>	<b>2</b>	<b>6 to 4</b>
Impact reduced from 3 to 2 as new DPO in place and trained				
<b>CRR_NS08</b> Failure of internal health and safety compliance or enforcement of health and safety		<b>2 to 3</b>	<b>1</b>	<b>2 to 3</b>
Impact raised from 2 to 3 as a result of rapidly changing working practices to respond to Covid19 guidelines				
<b>CRR_NS09</b> Unforeseen incidents happening at public events		<b>4</b>	<b>2</b>	<b>8</b>
<b>CRR_NS10</b> Failure of business continuity		<b>3</b>	<b>2</b>	<b>6</b>
<b>CRR_NS11</b> Ineffective emergency planning arrangements		<b>2</b>	<b>2</b>	<b>4</b>
<b>CRR_NS12a</b> Failure to deliver statutory services due to impact of Coronavirus on staffing levels		<b>1</b>	<b>4</b>	<b>4</b>
<b>CRR_NS12b</b> Failure to deliver statutory services due to impact of Coronavirus on the Community		<b>1</b>	<b>4</b>	<b>4</b>
<b>CRR_13a</b> Response to flooding impacts on delivery of statutory services		<b>2</b>	<b>3</b>	<b>6</b>
<b>CRR_13b</b> Inadequate resources to respond to flooding incidents		<b>2</b>	<b>3</b>	<b>6</b>
<b>CRR_TR04</b> Failure to properly manage our property assets		<b>3</b>	<b>1</b>	<b>3</b>
<b>CRR_TR07</b> Equal pay claim		<b>3</b>	<b>2</b>	<b>6</b>
<b>CRR_TR11</b> Insufficient staff capacity - skills, knowledge etc		<b>3</b>	<b>2</b>	<b>6</b>
<b>CRR_TR17</b> Inability to draw down Growth Deal 2 funding within specified timescales		<b>3</b>	<b>2 to 4</b>	<b>8 to 12</b>
Likelihood increased from 2 to 4 as a result of delays to the Chapel Lane project due to delays arising from Covid19				

Risk Status					
	Alert		Warning		Ok

## Operational Risks

Risk Code & Title	RAG Status	Impact	Likelihood	Current Rating
OR_CO04 Cost of defending appeals for large scale residential developments and potential award of costs		2	2 to 1	4 to 2
Likelihood reduced from 2 to 1 - Local Plan Part 2 has now been adopted and it can be regarded that the Council has an up to date Development Plan and a five year supply of deliverable housing sites, currently estimated at 8.5 years. Whilst this position has not yet been challenged at appeal, it is considered that the risk of appeals for large scale residential development has reduced and the Council is now in a better position to defend such appeals.				
OR_CO05 Failure to determine major planning applications within 13 weeks or agreed period		3	1	3
OR_CO06 Loss of income as a result of the refund of planning application fees under the provisions of the Government's Planning Performance and Planning Guarantee		2	2	4
OR_FCS06 Failure to manage and monitor budget		2	2	4
OR_FCS07 Lack of implementation of financial controls		2	2	4
OR_FCS08 Exposure to breach of VAT rules		3	2	6
OR_FCS09 Loss of capital/lower interest earned on investments, due to current economic climate	 to 	4	2 to 4	8 to 16
Likelihood increased from 2 to 4 as a result of Covid19 impact on interest rates potentially a significant reduction in the interest earned on investments plus a reduction in the capital value again potentially significant particularly if the values do not recover in the next 4 years.				
OR_FCS10 Reputational risk to the Council following adverse media coverage	 to 	2	3 to 2	6 to 4
Likelihood decreased from 3 to 2 as team are experienced and able to deal with issues as they occur				
OR_FCS11 Unauthorised access to IT systems		4	2	8
OR_NS02 Disruption and lack of fuel preventing collection of domestic waste		2	1	2
OR_NS06 Lack of knowledge of contaminated land		2	1	2
OR_NS20 Significant malfunction of core services/security risk at Council's temporary accommodation premises		2	2	4
OR_NS25 Failure to deliver mandatory DFG grant due to insufficient funding		2	1	2
OR_NS28 Delivery of social rented affordable housing		2	3	6

Risk Code & Title	RAG Status	Impact	Likelihood	Current Rating
OR_NS29 Lack of or inappropriate monitoring of the Council's contracts in place		3	1	3
OR_TR04 Failure to manage legionella issues		2	2	4
OR_TR05 Failure to manage asbestos in buildings under our control		2	2 to 1	4 to 2
Likelihood reduced from 2 to 1 – Recent demolition and/or disposal activity [eg Abbey Rd depot] has reduced volume and high risk types of ACM and, therefore, likelihood of an event				
OR_TR13 Failure to maintain council owned trees		2	2	4
OR_TR14 Partners closure of buildings where RBC has contact points, including RCSC	to	2	2 to 3	4 to 6
Likelihood increased from 2 to 3 as a result of partners closing buildings where RBC has contact points (libraries and medical centres) due to Covid19 – online and telephone services have been maintained, face-to-face contact is restricted until buildings reopen but all customer demands are being met				
OR_TR17 Threat of violence to staff		2	2	4
OR_TR18 Failure to comply with Equality legislation		2	1	2
OR_TR19 Risk to staff health due to their work	to	2 to 3	1 to 2	2 to 6
Impact raised from 2 to 3 and likelihood increased from 1 to 2 both as a result of Covid19 – some staff work out and about in the community and are at greater risk of catching Covid19. However, the Council has undertaken the necessary risk assessments and put controls in place to protect staff in accordance with government guidelines				
OR_TR20 Threat of Industrial Action		2	1	2
OR_TR24 Failure to successfully review the day to day operation of the Rushcliffe Arena	to	2 to 3	2	4 to 6
Impact raised from 2 to 3 to highlight the increased impact of failing to respond to Covid19 by reviewing the way staff work at the Arena to ensure it is a Covid-secure workplace				
OR_TR25 Failure to meet major statutory duties or take on board new legislation		2	2	4
OR_TR26 Inadvertent illegal activity, taking illegal decisions		2	1	2

Risk Status					
	Alert		Warning		Ok